

Financial & Tax Architects

Account Allocation Request Form

Account Name: _____

Account Number: _____

Email Address: _____

Advisor: _____

Account Type				Instruction Type		
<input type="checkbox"/> Qualified (IRA, Roth, BDA, 401k, etc.)	<input type="checkbox"/> Non-Qualified (Individual/ Joint)	<input type="checkbox"/> Entity (Trust, Corp., FLP, etc)	<input type="checkbox"/> Other _____	<input type="checkbox"/> New Investment	<input type="checkbox"/> Addt'l Funds	<input type="checkbox"/> Re-Allocation

Lower Risk Strategies			
Investment Name	Min. Investment	%	Mgmt Fee
<input type="checkbox"/> Strategic Hedged Income	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> High Yield Corporate Bond	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Strategic Enhanced Bond	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Sleep Well Bond	\$1,000	_____	<input type="checkbox"/> 0.75%
Moderate Risk Strategies			
Investment Name	Min. Investment	%	Mgmt Fee
<input type="checkbox"/> Foundation	\$3,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> U. S. Prime Dividend	\$20,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Prime Dividends Jr.	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Global Sector	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Prime Dividend International	\$10,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Economic Cycle	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Strategic Mid Cap	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Country Rotation	\$5,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Employment Trends	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Sector Rotation	\$5,000	_____	<input type="checkbox"/> 0.75%
Growth Strategies			
Investment Name	Min. Investment	\$ or %	Mgmt Fee
<input type="checkbox"/> NASDAQ Leaders	\$20,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Value Discount	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Reflation Strategy	\$1,000	_____	<input type="checkbox"/> 0.75%
<input type="checkbox"/> Broad Value	\$1,000	_____	<input type="checkbox"/> 0.75%
Total Investment:		_____	

Held Positions:	
Ticker: _____	Ticker: _____
Ticker: _____	Ticker: _____
Ticker: _____	Ticker: _____

	%	Mgmt Fee
<input type="checkbox"/> Sleeve 1	_____	0.75%
1/3 High Yield Corporate Bond		
1/3 Sleep Well Bond		
1/3 Strategic Enhanced Bond		
<input type="checkbox"/> Sleeve 2	_____	0.75%
1/3 High Yield Corporate Bond		
1/3 Sleep Well Bond		
1/3 Foundation Portfolio		
<input type="checkbox"/> Sleeve 3	_____	0.75%
1/3 Sleep Well Bond		
1/3 Foundation Portfolio		
1/3 US Prime Dividend		
<input type="checkbox"/> Sleeve 4	_____	0.75%
1/3 Foundation Portfolio		
1/3 US Prime Dividend		
1/3 Economic Cycle		
<input type="checkbox"/> Sleeve 5	_____	0.75%
1/3 US Prime Dividend		
1/3 Economic Cycle		
1/3 Global Sector		
<input type="checkbox"/> Sleeve 6	_____	0.75%
1/3 Economic Cycle		
1/3 Global Sector		
1/3 Value Discount		
<input type="checkbox"/> Sleeve 7	_____	0.75%
1/3 Global Sector		
1/3 Value Discount		
1/3 US Prime Dividend		
<input type="checkbox"/> Sleeve 8	_____	0.75%
1/3 Prime Dividend International		
1/3 Country Rotation		
1/3 Economic Cycle		

Low Risk

Moderate Risk

Low Risk

Moderate Risk

Disclosures: I acknowledge that Financial & Tax Architects, LLC. (FTA) is serving solely as a Sub-Advisor. FTA makes no suitability determinations at the client level and relies only on the individual advisor's responsibility to conduct a proper review of a client's risk tolerance and investment horizon, among other factors, before the advisor makes a recommendation to invest in these strategies. Client and Advisor acknowledge that FTA solely provides the trading and reporting information to advisors and investors based on FTA's strategies, without regard to an individual client's financial situation. FTA will be investing solely on the instructions confirmed here in. FTA is a registered investment advisor.

Client Signature: _____ Date: _____

Client Signature: _____ Date: _____

Advisor Signature: _____ Date: _____